



S&H Form: (2/01)  
DOCKET NO. 1077.1011-D

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Takeshi SHIOGA, et al.

Serial No: 10/629,761

Group Art Unit: 2812

Confirmation No. 7698

Filed: July 30, 2003

Examiner:

For: CAPACITOR AND SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING  
THE SEMICONDUCTOR DEVICE

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

It is requested that the Assignment for Published Patent Application on the Official Filing Receipt be added. The correct Assignment for Published Patent Application is **Fujitsu Limited, of Kawasaki, Japan**, as is evidenced by the Continuing (1.53(b)) Utility Patent Application Transmittal, attached to the application as filed. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been noted in red.

It is requested that a corrected Official Filing Receipt be issued in this application.

Respectfully submitted,

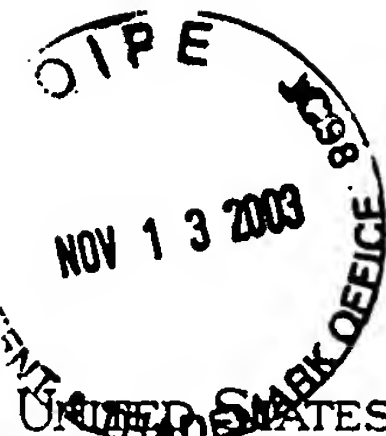
STAAS & HALSEY LLP

Date: Nov. 13, 2003

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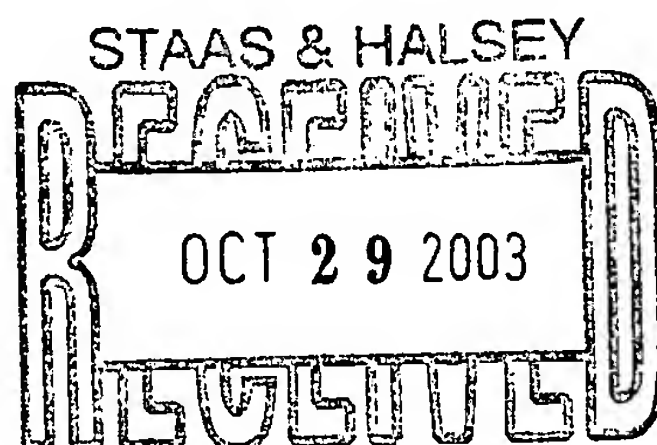


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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/629,761	07/30/2003	2812	1224	1077.1011-D	40	23	8

21171  
 STAAS & HALSEY LLP  
 SUITE 700  
 1201 NEW YORK AVENUE, N.W.  
 WASHINGTON, DC 20005



CONFIRMATION NO. 7698

## FILING RECEIPT



\*OC000000011095234\*

Date Mailed: 10/24/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Takeshi Shioga, Kawasaki, JAPAN;  
 Kazuaki Karasawa, Kawasaki, JAPAN;  
 Kazuaki Kurihara, Kawasaki, JAPAN;

*Assignment For Published Patent Application*  
*Fujitsu Limited of Kawasaki, Japan*  
 Domestic Priority data as claimed by applicant

This application is a DIV of 09/956,944 09/21/2001 PAT 6,624,501

## Foreign Applications

JAPAN 2001-18132 01/26/2001  
 JAPAN 2001-100184 03/30/2001

If Required, Foreign Filing License Granted: 10/23/2003

Projected Publication Date: 01/29/2004

Non-Publication Request: No

Early Publication Request: No

Title

Capacitor and semiconductor device and method for fabricating the semiconductor device

Preliminary Class

438

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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S&amp;H Form: PTO/SB/05 (2/02)

<b>CONTINUING (1.53(b)) UTILITY PATENT APPLICATION TRANSMITTAL</b>  <small>(Only for continuing applications under 37 CFR 1.53(b))</small>	Attorney Docket No. 1077.1011-D	
	First Named Inventor: Takeshi SHIOGA, et al.	
	Title:	CAPACITOR AND SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SEMICONDUCTOR DEVICE
	Express Mail Label No.	

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>	<b>ADDRESS TO:</b> <b>Commissioner for Patents</b> <b>Box Patent Application</b> <b>PO Box 1450</b> <b>Alexandria, VA 22313-1450</b>
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<ul style="list-style-type: none"><li>1. <input checked="" type="checkbox"/> Fee Transmittal Form</li><li>2. <input checked="" type="checkbox"/> Specification, Claims &amp; Abstract..... [Total Pages: <u>84</u>]</li><li>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113)..... [Total Sheets: <u>40</u>] [FIGS. 1-40]</li><li>4. <input checked="" type="checkbox"/> Oath or Declaration..... [Total Pages: <u>4</u>]<ul style="list-style-type: none"><li>a. <input type="checkbox"/> Newly executed (original or copy)</li><li>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))</li></ul></li><li>5. <input type="checkbox"/> This application is filed by fewer than all the inventors named in the prior nonprovisional application.<ul style="list-style-type: none"><li>a. <input type="checkbox"/> DELETE the following inventor(s) named in the prior nonprovisional application:</li><li>b. <input type="checkbox"/> The inventor(s) to be deleted are set forth on a separate sheet attached hereto.</li></ul></li><li>6. <input type="checkbox"/> Verified Statement Claiming Small Entity Status</li><li>7. <input checked="" type="checkbox"/> Incorporation by Reference (usable if Box 4b is checked) The entire disclosure of the prior application (identified in Item 21), from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</li><li>8. <input checked="" type="checkbox"/> Applicant claims foreign priority benefit to: <u>Japanese Application 2001-018132 filed January 26, 2001;</u> <u>Japanese Application 2001-100184 filed March 30, 2001</u></li><li>9. <input type="checkbox"/> Application Data Sheet. See 37 C.F.R. 1.76</li><li>10. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)</li><li>11. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)<ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li><li>b. <input type="checkbox"/> Specification Sequence Listing on:<ul style="list-style-type: none"><li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li><li>ii. <input type="checkbox"/> paper</li></ul></li><li>c. <input type="checkbox"/> Statement verifying identity of above copies</li></ul></li><li>12. <input checked="" type="checkbox"/> Assignment to Fujitsu Limited of Kawasaki, Japan at Reel 012196, Frame 0515 <input checked="" type="checkbox"/> for publication of assignee information under 37 CFR 1.215(b)</li></ul>
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<b>ACCOMPANYING APPLICATION PARTS</b>	
<ul style="list-style-type: none"><li>13. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)</li><li>14. <input type="checkbox"/> English Translation Document (if applicable)</li><li>15. <input checked="" type="checkbox"/> Foreign priority benefit under 35 U.S.C. §119 is claimed.<ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) filed in prior application No. 09/956,944.</li><li>b. <input type="checkbox"/> Certified Copy of Priority Document(s) enclosed.</li><li>c. <input type="checkbox"/> Certified Copy of Priority Document(s) to follow.</li></ul></li><li>16. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449</li><li>17. <input checked="" type="checkbox"/> Preliminary Amendment<ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> enclosed herewith.</li><li>b. <input checked="" type="checkbox"/> incorporated herein (see Box 21).</li></ul></li><li>18. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</li><li>19. <input type="checkbox"/> Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent</li><li>20. <input checked="" type="checkbox"/> Other: Request for Change of Address</li></ul>	<ul style="list-style-type: none"><li><input type="checkbox"/> Power of Attorney</li><li><input type="checkbox"/> Copies of IDS Citations</li></ul>

**21. CONTINUING APPLICATION**, check appropriate box and supply the requisite information below:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/956,944.

Prior application information: Examiner: Greene, Pershelle L  
Group/Art Unit: 2826

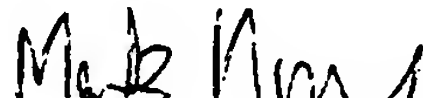
**Preliminary Amendment:**

- ☒ Cancel in this application original claims 1-12, 14 and 15 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☒ Amend the specification by inserting before the first line the sentence:--This application is a divisional of application number 09/956,944, filed September 21, 2001, now allowed.--

**22. NEW CORRESPONDENCE ADDRESS CUSTOMER NO. 21,171****21171**

PATENT TRADEMARK OFFICE

**23. SIGNATURE OF ATTORNEY OR AGENT**

NAME	Mark J. Henry	REGISTRATION NO.	36,162
SIGNATURE		DATE	July 30 2003